EPID600 (Spring 2007) module on Case-control Studies

Objectives:

- List the characteristics, advantages, and disadvantages of case control studies.
- Define the term odds ratio (OR); calculate and interpret an OR from tabular data in an article.
- Identify when the OR is a good estimate of the RR.
- Distinguish and explain advantages and disadvantages of (a) hospitalbased and (b) population-based controls.
- Differentiate crude from adjusted OR's.
- Distinguish between prevalent and incidence cases.
- Explain the relation of controls in a case-control study to the study base (source population) for the cases.
- Explain why using other diseased persons as controls helps diminish recall bias in studies.
- Interpret 95% confidence intervals.

Instructions:

- Read: Aschengrau and Seage, ch. 9 Case-control studies . Answer the practice questions at the end of the chapter or at <u>http://publichealth.jbpub.com/aschengrau/student_resources.cfm</u> and check your answers (recommended, but optional) (animated flashcards, weblinks, and Powerpoint slides from the authors] can also be found at that URL)
- Look over the <u>case study</u> questions and then read the case study reading: Fontham ETH, Correa P, Wu-Williams A, *et al.* (1991). Lung cancer in nonsmoking women: A multicenter case control study. *Cancer Epid Biomarkers & Prev.* 1:35-43. (Notes: HCFA = Health Care Financing Administration, now the Centers for Medicare & Medicaid Services; <u>abstract</u>, <u>full text</u>)
- 3. (Optional, but earns credit) Before lab, <u>submit</u> the answers to the starred <u>case study questions</u> (numbers 1, 3, 5, 8, and 10).
- 4. Read the lecture slides and attend the lecture (or read the speaker notes).
- 5. Work on the rest of the <u>case study questions</u> in **lab** and afterwards.
- 6. Agree on the answers, so the facilitator can <u>submit</u> the group's consensus answers by the following Sunday evening (EST).

Case Study Questions (NOTE: For some of these questions there may not be one "right answer".)

**1. Succinctly state the research question addressed in the study by Fontham *et al.*?

2. The authors refer to the existing body of data as suggesting that there is a "small but significant elevation in risk of lung cancer" (35, col 2). What do you think they mean by "small" and "significant" in this context?

**3. For each of the "unresolved issues" listed in the introduction, indicate why that issue is important to resolve in order to answer the research question. Could any of these account for the finding of an association between ETS and lung cancer?

4. What are advantages of the study's being population-based?

**5. What is the key information that the control group is intended to provide?

6. What are relative advantages and disadvantages of population controls versus hospital controls?

7. What population group will be unavailable for inclusion in the populationbased control group in this study. Is this unavailability likely to be an important source of bias?

**8. Interviews were conducted with 84% (431 of 514) of eligible cases and 72-73% of controls. What concern does this raise about the validity of the overall results? In other words, if interviews had been conducted on 100 % of eligible cases, how different might the observed odds ratio have been from the one given in the journal article's abstract? Is it likely that the 16% non-interviewed cases and the 28% non-interviewed controls would produce serious distortion? What further data would you want to evaluate this distortion, rather than just speculate on it?

9. Explain the Fontham *et al.* statement (page 36, column 2, lines 15-16) that colon cancer controls "provided an opportunity to examine the issue of recall bias associated with a recent diagnosis of cancer."

10. Use the data on "Education" in Table 4 of the journal article to calculate the odds ratio for the association between less than high school education vs. (some college + college + graduate education) and lung cancer in nonsmoking women. Consider "exposed" as women with less than high school education; consider "nonexposed" as the combination of women with some college, college, and graduate education. **Use the population controls as the control group.

- a. What are the values of cells (A, B, C, and D) of the 2 by 2 table?
- b. What is the value of the odds ratio?
- c. Can you spot the incorrect number in Table 4?

11. Interpret this odds ratio in a sentence. Can this odds ratio be used as an estimate of the risk ratio or incidence density ratio?

12. The 95% confidence interval around the odds ratio calculated in 10b above is (1.67-3.06). What information does this confidence interval provide?

13. In Table 5 of the journal article, the adjusted odds ratio for lung carcinomas (outcome) and ETS (exposure) obtained with the population controls was 1.20 (0.93- 1.55). Changing only the outcome to adenocarcinoma of the lung yields an adjusted odds ratio of 1.36 (1.02 - 1.84).

a. Explain what is meant by the "adjusted" odds ratio.

b. How do you interpret the findings both in Table 5 and in Figure 1 of the journal article, in which adjusted odds ratios for adenocarcinomas of the lung are most often larger than those for all lung cancer?

12/10/2001 vs/lka, 2/18/2003 vs, 12/8-11/2003vs

Lung Cancer in Nonsmoking Women: A Multicenter Case-Control Study¹

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Abstract

The association between exposure to environmental tobacco smoke and lung cancer in female lifetime nonsmokers was evaluated using data collected during the first 3 years of an ongoing case-control study. This large, multicenter, population-based study was designed to minimize some of the methodological problems which have been of concern in previous studies of environmental tobacco smoke and lung cancer. Both a cancer control group and a population control group were selected in order to evaluate recall bias. A uniform histopathological review of diagnostic material was conducted for case confirmation and detailed classification. Biochemical determination of current exposure to tobacco and screening of multiple sources of information to determine lifetime nonuse were utilized to minimize misclassification of smokers as nonsmokers.

A 30% increased risk of lung cancer was associated with exposure to environmental tobacco smoke from a spouse, and a 50% increase was observed for adenocarcinoma of the lung. A statistically significant positive trend in risk was observed as pack-years of exposure from a spouse increased, reaching a relative risk of 1.7 for pulmonary adenocarcinoma with exposures of 80 or more packyears. The predominant cell type of the reviewed, eligible lung cancer cases was adenocarcinoma (78%). Results were very similar when cases were compared to each control group and when separate analyses were conducted for surrogate and personal respondents. Other adult-life exposures in household, occupational, and social settings were each associated with a 40– 60% increased risk of adenocarcinoma of the lung. No association was found between risk of any type of lung cancer and childhood exposures from a father, mother, or other household members.

Introduction

Approximately one decade has passed since the initial reports of increased risk of lung cancer in nonsmoking women married to smokers (1, 2). The ensuing studies have provided a body of data which suggests a small but significant elevation in risk of lung cancer associated with exposure to ETS^3 (3–22). In reported prospective studies exposure has been assessed by the spouse's smoking history, primarily that of husbands. In case-control studies, the primary ETS exposure assessed has also been that from a spouse, although exposures from parents, other household exposures, and the workplace have been examined in some studies.

In general, these studies have included fewer than 100 nonsmoking lung cancer cases whose self-reported smoking status has not been validated by biochemical determination or other means. Reviews of available studies of ETS and lung cancer in nonsmokers by the National Research Council (23), the International Agency for Cancer Research (24), and others (25, 26) have concluded that although misclassification is unlikely to account for all of the observed increased risk, some misclassification of current or former smokers as nonsmokers is likely (0.5–5.0%). Because smokers tend to marry smokers, misreporting may introduce some bias in the estimation of the magnitude of the observed effect.

This study was undertaken in 1985 in an effort to address a number of unresolved issues related to ETS:

(a) Misclassification of Smoking Status. Multiple sources of information are utilized to ascertain nonsmoking status (medical record, physician, and then the study subject or surrogate). Study respondents are questioned twice (at contact to set up the interview and at the beginning of the interview). Self-reported current nonsmoking status is corroborated by measurement of urinary cotinine.

(b) Histopathological Specificity. Microscopic diagnostic slides are reviewed by one pulmonary pathologist both to confirm eligibility of cases as primary lung carcinomas and to provide a detailed review (subtype, differ-

Received 5/2/91.

¹ This research was supported by Grant CA40095 from the National Cancer Institute.

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³ The abbreviations used are: ETS, environmental tobacco smoke; SEER, Surveillance, Epidemiology, and End Result; OR, odds ratio; CI, confidence interval.





Confidence intervals & significance tests Everything you've been told so far about confidence intervals and statistical significance is misleading, including this statement. I am not licensed to teach statistics, so what I say on this topic mustn't leave this room!

Case-control studies

10/8/2001

10/8/2001



Significance tests

"It might be argued that the significance test, if properly understood, does no harm. This is, perhaps, fair comment, but anyone who appreciates the force of the case presented in this chapter will realize that equally, it does very little good."

Case-control studies

Michael Oakes, Statistical inference, p.72

Incidence density and cumulative incidence



















































































| Odds | | | | | |
|--|-------|-------|-------|------|------|
| odds = probability / (1 – probability) | | | | | |
| odds = risk / (1 – risk) | | | | | |
| (most commonly) | | | | | |
| Risk | 0.010 | 0.050 | 0.100 | 0.20 | 0.80 |
| Odds | 0.010 | 0.053 | 0.111 | 0.25 | 4.00 |
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| 2/28/2006 Case-control studies | | | | 48 | |



















































































































